



Technical University of Mombasa

Faculty of Applied and Health Sciences

DEPARTMENT OF PURE AND APPLIED SCIENCES

UNIVERSITY EXAMINATION FOR THE DEGREE OF BACHELOR OF
TECHNOLOGY IN APPLIED CHEMISTRY
BTAC 11M / BTAC 12J/12M

ACH 4311: VALIDATION AND REGULATORY AFFAIRS

SEMESTER EXAMINATION

DECEMBER 2013 SERIES

2 HOURS

Instructions to candidates:

This paper consist of **FIVE** questions

Answer question **ONE** (compulsory) and any other **TWO** questions

QUESTION ONE

a) Define the following terms:

- | | |
|----------------------------|----------|
| (i) Acceptance number (Ac) | (1 mark) |
| (ii) Regulatory body | (1 mark) |
| (iii) Process validation | (1 mark) |

b) Explain the following:

- | | |
|---|-----------|
| i) The role of a quality control unit | (2 marks) |
| ii) The importance of acceptance testing | (4 marks) |
| iii) The principle of employees' empowerment | (4 marks) |
| iv) The term 'analyzing the problem' which is the second step of the six steps of the problem-solving process | (2 marks) |
| v) The importance of documentation evidence in validation | (3 marks) |

- c) (i) Name the TWO types of acceptance sampling **(2 marks)**
- (ii) Explain the sampling process by each of the TWO types acceptance sampling mentioned in C(i) above **(3 marks)**
- d) Define equipment qualification according to the EC guide to Good Manufacturing Practice. **(2 marks)**
- e) Discuss the following :
- (i) Twyla Dell's sentiments about employees' motivation **(2 marks)**
- (ii) The term 'employee involvement' **(2 marks)**
- (iii) Give the full name of the acronym "ICH" **(1 mark)**

QUESTION TWO

- a) Define the term method 'Validation' according the U.S FDA's 1987 guideline , general Principles of Validation **(2 marks)**
- b) Explain the following:
- (i) Validation policy **(10 marks)**
- (ii) The role of validating analytical methods **(6 marks)**
- (iii) The role of equipment operational qualification **(2 marks)**

QUESTION THREE

- a) Explain the importance of any TWO of the criteria upon which testing laboratories should be selected **(10 marks)**
- b) Discuss what ISO/IEC 17025 (5.2) quality standard says about human aspect of quality **(10 marks)**

QUESTION FOUR

Construct the sampling flow process and indicate the requirements at each step of the process **(20 marks)**

QUESTION FIVE

Outline the FOUR statements within the U.S.FDA 21CFR 211.192 regulatory requirements that deal with out-of-specification test results. **(20 marks)**